Original Research Article

Prophylactic use of antibiotics as per SIGN 104 guidelines versus routine antibiotic prophylaxis for prevention of surgical site infection in clean and clean contaminated ENT surgical procedures: a comparative study

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ABSTRACT

Background: Many clinicians continue to use antibiotic prophylaxis routinely in all surgical procedures, ignoring the guidelines issued by policy makers. In this prospective study we compared the rate of surgical site infection (SSI) in patients who received prophylactic antibiotics as a routine; with the rate of SSI in patients getting antibiotics strictly as per SIGN 104 Guidelines, for clean and clean contaminated procedures.

Methods: The study population comprised 235 patients. Group A consisted of 119 patients having 77 (65%) males and 42 (35%) females while Group B had 116 patients - 71 (61%) males and 45 (39%) females. Group A received routine antibiotic prophylaxis in all cases, while Group B received antibiotic prophylaxis as per SIGN 104 guidelines only. Both the groups were followed up for one month post-operatively for SSI and complications.

Results: SSI occurred in 2 patients (1.68%) in Group A and in 3 (2.59%) patients in Group B. There was no significant difference in the rate of SSI between the two groups (p=0.68). Procedure wise maximum SSI occurred in tympanoplasty and laryngectomy. Due to infection one case of tympanoplasty had graft failure and one case of laryngectomy had delayed wound healing. No major complications related to infection or antibiotic use occurred in either group.

Conclusions: Selective use of antibiotic prophylaxis as per SIGN 104 Guidelines does not lead to increase in SSI in clean and clean contaminated ENT procedures.

Keywords: Antibiotic prophylaxis, Clean procedure, Clean contaminated procedure, ENT procedures, Surgical site infection

INTRODUCTION

The term surgical site infection (SSI) is used to encompass the surgical wound and infections involving the body cavity, bones, joints, meninges and other tissues involved in the operation. In procedures that require the insertion of implants or prosthetic devices the term also encompasses infections associated with these devices.1 SSI is defined as infections occurring up to 30 days after surgery (or up to one year after surgery in patients receiving implants) and affecting either the incision or deep tissue at the operation site. It remains a significant clinical problem due to associated mortality and morbidity.2

Common organisms causing SSI in oropharyngeal procedures include Pepto streptococcus, Staphylococcus
antibiotics are not used. In a study conducted by Valdez et al, most physicians reported routinely prescribing antibiotics either preoperatively or postoperatively for 12 of the 17 procedures included in their questionnaire despite agreeing that there is not enough evidence to support their use.

Although there are some studies in the literature demonstrating the efficacy of these guidelines, most of these are retrospective audits. Also, there are not many prospective comparative studies conducted in our population on this issue, hence, further studies are warranted.

In this study we have prospectively followed our patients and compared the rate of SSI in patients who received prophylactic antibiotics as a routine; with the rate of SSI in patients getting antibiotics strictly as per SIGN 104 Guidelines, for clean and clean contaminated procedures.

**METHODS**

This study was conducted at Army College of Medical Sciences and Base Hospital, Delhi Cantt, India; a territory care centre from July 2018 to May 2019. All patients planned for various ENT surgeries at our centre were considered for the study. Informed consent for surgery as well as for participation in the study was obtained from all the patients. Ethical clearance for the study was provided by the institutional ethics committee and the study was approved by the scientific review committee of our hospital. Detailed history, clinical examination and investigative work-up were carried out as per the requirement of the surgery planned.

**Inclusion criteria**

All cases undergoing elective ENT surgery falling in the class of clean and clean contaminated procedures.

**Exclusion criteria**

Patients with uncontrolled diabetes mellitus, immune-compromised state, congenital heart disease, prosthetic valve or with history of infective endocarditis were excluded. Patients with history of previous irradiation or steroidal therapy; pre-existing infection or receiving antibiotics were also not included. Other causes for exclusion were contaminated and dirty class of procedures, and known allergy to the antibiotics used.

**Group allocation and randomization**

After fulfilling inclusion and exclusion criteria, consenting patients were consecutively recruited to the study and were allocated to either group A or B using simple randomization. For this purpose, we used online randomization using the website www.random.org. Before the enrollment of the patients we generated a list of random numbers by asking the random integer
generator application at this website to generate 500 numbers between 1 and 500 formatted in two columns. This gave us two columns of random numbers which we named as group A and B respectively. Once a patient was enrolled in the study, a resident again generated a random number, this time a single number, by asking the application to generate one number between 1 and 500 formatted in 1 column. The single number so obtained was matched with the table of numbers already made at the onset of study and the column in which this number was found was the group assigned to that patient.

**Intervention**

In Group A, we administered prophylactic antibiotics as a routine in all cases in the form of amoxicillin clavulanate, in the dose of 25 mg/kg(maximum 1000 mg) of amoxicillin given intravenously before the induction of anaesthesia, after sensitivity test and continued 12 hourly for 24 hours in the post-operative period. If allergic to penicillins or beta lactums, azithromycin 10 mg/kg (maximum 500 mg) was administered intravenously before induction of anaesthesia and in the morning of first post-operative day. In procedures on oral cavity and oropharynx, metronidazole 15 mg/kg (maximum 500 mg) was also given intravenously on the day of surgery before induction of anaesthesia and continued 8 hourly for 24 hours.

In Group B, prophylactic antibiotics were administered only for those procedures, for which antibiotic prophylaxis has been recommended by SIGN 104 guidelines. The dosage and route of administration was also followed as per the SIGN 104 guidelines. All the patients underwent elective surgeries as planned. All were observed post-operatively till the discharge from hospital and then followed up at 7 days and 30 days after discharge. SSI and any complications were looked for during each observation.

**Main outcome measures**

Primary outcome measure of this study was SSI. Diagnosis of SSI was made as per guidelines of the Centers for Disease Control and Prevention, Atlanta, Georgia, United States of America. Secondary outcomes were duration of hospital stay, drug reactions and complications.

**Statistical analysis**

Statistical analysis was performed using SPSS version 21 (IBM Corporation) software. Descriptive data are presented as mean, Standard Deviation (SD), range and proportions. Student’s ‘t’ test was used for comparing continuous variables and Chai squared tests for nominal or categorical variables. Rates of infection have been compared using Fischer’s exact test. P value of <0.05 was considered significant.

**RESULTS**

The study population comprised 235 patients - 148 (63%) males and 87 (37%) females. Group A consisted of 119 patients having 77 (65%) males and 42 (35%) females while Group B had 116 patients - 71 (61%) males and 45 (39%) females. There was no significant difference between the groups in mean age (p=0.46; t test) or in gender composition (p=0.58; Chi squared test). A summary of demographic and descriptive data is given in Table 1.

Details of procedure, antibiotics usage and SSI of Group A and B are given in Table 2 and 3 respectively. Most common procedures were tympanoplasty, Adenotonsillectomy, septoplasty, DL scopy biopsy, tracheostomy and functional endoscopic sinus surgery. There were no significant differences between the groups in the proportions of procedures performed in each group.

**Table 1: Demographic and descriptive statistics of the study.**

<table>
<thead>
<tr>
<th>Parameter studied</th>
<th>Group A (n=119)</th>
<th>Group B (n=116)</th>
<th>All patients (n=235)</th>
<th>P value (Test)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age range (years)</td>
<td>5-67</td>
<td>6-66</td>
<td>5-67</td>
<td></td>
</tr>
<tr>
<td>Mean age (years)</td>
<td>43.2</td>
<td>41</td>
<td>43</td>
<td>0.46 (t-test)</td>
</tr>
<tr>
<td>Standard deviation</td>
<td>11.23</td>
<td>12.15</td>
<td>12.13</td>
<td></td>
</tr>
<tr>
<td>Male (%)</td>
<td>77 (65)</td>
<td>71 (61)</td>
<td>148 (63)</td>
<td>0.58 (Chi²)</td>
</tr>
<tr>
<td>Female (%)</td>
<td>42 (35)</td>
<td>45 (39)</td>
<td>87 (37)</td>
<td></td>
</tr>
<tr>
<td>Smoking (%)</td>
<td>9 (7.5)</td>
<td>7 (6)</td>
<td>16 (6.8)</td>
<td>0.64 (Chi²)</td>
</tr>
<tr>
<td>Alcohol (%)</td>
<td>6 (5)</td>
<td>8 (6.8)</td>
<td>14 (5.9)</td>
<td>0.55 (Chi²)</td>
</tr>
<tr>
<td>Days of hospital stay mean (SD)</td>
<td>3.1 (1.1)</td>
<td>3.2 (1.1)</td>
<td>3.1 (1.2)</td>
<td>0.36 (t-test)</td>
</tr>
</tbody>
</table>

**Table 2: Details of procedure, antibiotics usage and SSI of Group A (n=119).**

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Procedure</th>
<th>Total No.</th>
<th>No. received PA</th>
<th>No. did not get PA</th>
<th>No. of SSI</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Tympanoplasty (fresh and revision)</td>
<td>16</td>
<td>16</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Cortical mastoidectomy with tympanoplasty</td>
<td>11</td>
<td>11</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>3</td>
<td>Septoplasty</td>
<td>14</td>
<td>14</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Continued.
Table 3: Details of procedure, antibiotics usage and SSI of Group B (n=116).

<table>
<thead>
<tr>
<th>Procedure</th>
<th>Total No.</th>
<th>SIGN 104 guideline; R or NR</th>
<th>No. received PA</th>
<th>No. did not get PA</th>
<th>No. of SSI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tymanoplasty (fresh and revision)</td>
<td>20</td>
<td>NR</td>
<td>0</td>
<td>20</td>
<td>1</td>
</tr>
<tr>
<td>Cortical mastoidectomy with tymanoplasty</td>
<td>13</td>
<td>R</td>
<td>13</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Septoplasty</td>
<td>16</td>
<td>NR</td>
<td>0</td>
<td>16</td>
<td>0</td>
</tr>
<tr>
<td>FESS</td>
<td>10</td>
<td>NR</td>
<td>0</td>
<td>10</td>
<td>0</td>
</tr>
<tr>
<td>Closed reduction fracture nasal bone</td>
<td>1</td>
<td>NR</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Endoscopic DCR</td>
<td>5</td>
<td>NR</td>
<td>0</td>
<td>5</td>
<td>0</td>
</tr>
<tr>
<td>Augmentation rhinoplasty</td>
<td>1</td>
<td>R</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Biopsy mass nasopharynx</td>
<td>1</td>
<td>R</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Adenontosillectomy</td>
<td>13</td>
<td>NR</td>
<td>0</td>
<td>13</td>
<td>0</td>
</tr>
<tr>
<td>DL scopy and biopsy</td>
<td>9</td>
<td>R</td>
<td>9</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Micolaryngeal surgery laser assisted</td>
<td>5</td>
<td>R</td>
<td>5</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Removal of foreign body oesophagus</td>
<td>3</td>
<td>NR</td>
<td>0</td>
<td>3</td>
<td>0</td>
</tr>
<tr>
<td>Total laryngectomy with PMMC flap</td>
<td>2</td>
<td>R</td>
<td>2</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Medial maxillectomy</td>
<td>2</td>
<td>R</td>
<td>2</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Elective tracheostomies</td>
<td>7</td>
<td>NR</td>
<td>0</td>
<td>7</td>
<td>0</td>
</tr>
<tr>
<td>Abscess incision and drainage</td>
<td>4</td>
<td>R</td>
<td>4</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Thyroidectomy (benign)</td>
<td>3</td>
<td>NR</td>
<td>0</td>
<td>3</td>
<td>0</td>
</tr>
<tr>
<td>Thyroidectomy (malignant)</td>
<td>1</td>
<td>R</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

PA=Prophylactic antibiotics, SSI=Surgical site infection, R=Recommended, NR=Not recommended, No.=Number.

Prophylactic antibiotics were administered in 119 (100%) patients in Group A; but only in 38 (32.76%) patients in Group B. 78 (67.24%) patients in Group B did not receive any prophylactic antibiotic as it was not recommended by SIGN104 guidelines.

SSI occurred in 2 patients in Group A and in 3 patients in Group B. There was no significant difference in the rate of SSI between the two groups (p=0.68; Fisher’s Exact Test). Procedure wise maximum SSI occurred in tymanoplasty and laryngectomy. Due to infection one case of tymanoplasty had graft failure and one case of laryngectomy had delayed wound healing.

One patient in Group A had drug reaction in the form of mild urticaria which was self-limiting. No other complication attributable to usage of antibiotics or infection occurred in either group.

Average length of hospital stay in Group A was 1.52 days and in Group B 1.8 days. The difference was not significant (p=0.30, t test).

DISCUSSION
Many studies have indicated beneficial effects of prophylactic administration of antibiotics. This practice can decrease postoperative morbidity leading to shorter...
period of hospitalization, and reduce costs which would have been incurred, if the infections occurred. However, researchers have also cautioned that the antibiotics should be discontinued 24 hours after surgery. Prolonged antibiotics use can lead to bacterial resistance and increased hospital costs. Therefore, indiscriminate use of antibiotics to prevent SSI may not be justified. In fact, indiscriminate use of prophylactic antibiotics may be more damaging to the patient. Hence, guidelines for rational use of prophylactic antibiotics, like SIGN 104, are the need of the hour.

In our study, use of prophylactic antibiotics strictly as per the recommendation of SIGN 104 guidelines did not lead to increased SSI as compared to the patients in whom these were routinely used. This led to drastic reduction in antibiotic usage in group B (just 32.76% compared to 100% usage in group A) without significant increase in SSI. Length of hospital stay also was comparable between the two groups; and no serious complications due to antibiotics occurred in any group.

Similar results have been achieved by some earlier studies. Patel et al in a state of the art review pointed out the lack of evidence to support routine antibiotic prophylaxis in many surgeries and recommended it for selected surgeries like complex septrhinoplasty, skull base surgery (anterior and lateral), clean-contaminated otologic surgery (cholesteatoma, purulent otorrhea), and clean-contaminated head and neck surgery (violation of aerodigestive tract, free flaps). They also commented that antibiotic overuse and variability among providers may be due to lack of formal practice guidelines.

The clinicians who hesitate to follow these guidelines, despite accepting the effectiveness of these guidelines in prevention of SSI, need to appreciate that prophylactic antibiotics are only an adjunct to good surgical technique and therefore cannot replace it. There is a multitude of factors responsible for SSI which also must be taken care of. These may be patient-related like age, nutritional status, diabetes, smoking, obesity, altered immune response, or procedure-related like duration of surgical scrubbing, preoperative shaving, preoperative skin preparation, duration of operation, operating room ventilation, quality of sterilization of surgical instruments, surgical technique, poor haemostasis, tissue trauma etc.

The centers for disease control and prevention guidelines for the prevention of SSIs also emphasise the importance of good patient preparation, aseptic practice, and attention to surgical technique. According to their guidelines, antimicrobial prophylaxis is also indicated in specific circumstances. Furthermore, increased duration of prophylaxis has failed to decrease infection in many of the patients belonging to high risk groups. Panda et al also concluded in their study that short-term antibiotic prophylaxis in clean and clean-contaminated cases is feasible and as effective as long-term prophylaxis.

Correction of anemia and hypoalbuminemia, weight reduction, and avoidance of tobacco can prevent SSI.

Large-scale use of prophylactic antibiotics in clean and clean-contaminated ear surgery has not been found to be helpful in reducing postoperative complications. Similarly, Habibi et al did not recommend routine use of prophylactic antibiotics after evaluation of 1010 procedures in their study.

There is no universal agreement on the choice of antibiotic for prophylactic use. For example, cefazolin has been commonly used for antibiotic prophylaxis, but, Otake et al showed in their study that oral azithromycin had equal efficacy as intravenous cefazolin in preventing surgical site infection in tonsillectomy patients. On the other hand Shkedy et al did not find prophylactic use of cefazolin to reduce post-operative infection in revision clean head neck surgery.

We chose to study the efficacy of SIGN 104 guidelines because we found these quite easy to follow, as these guidelines have clearly spelt out procedure wise, whether to use prophylactic antibiotics or not. Where antibiotic prophylaxis is recommended, the choice of antibiotics and dosage is also spelt out. In comparison to this, other guidelines have given their recommendation for choice of antibiotic for prophylactic use along with dosage for the procedure where these are indicated; but have not indicated where antibiotic prophylaxis should not be used.

Our study has the limitation of smaller number of patients compared to the number actually required for such type of studies. Incidence of SSI in the present-day procedures is as such low due to excellent patient preparation and good surgical techniques. Hence, to get sizable number of patients with SSI will require a very large sample.

CONCLUSION

We would like to reaffirm the argument that adherence to SIGN 104 guidelines does not increase the incidence of SSI in clean and clean contaminated procedures. Hence, antibiotic prophylaxis in accordance to these guidelines will reduce overall consumption of antibiotics and prevent resistance against the antibiotics.

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